



Contents and Instructions

Please complete this form if you wish to supply your tax file number.

Step 1: Your client details

Complete the full name in which the investment is held, the client reference number, and date of birth.

Step 2: Reason for exemption (Pension only)

In the space for exemption, write the full name of the pension you receive.

For example:

- Age Pension
- Wife Pension
- Sole Parent Pension
- Carer Pension
- Special Benefit
- Service Pension
- Widow Pension
- Disability Support Pension

Step 3: Residency status

Tick one box, and complete name of country of residence (if applicable).

Step 4: Portfolio type

Please indicate your Generations portfolio type.

Step 5: Tax file number

Please either tick the box, or complete your tax file number. You must provide your tax file number to enable your super fund to accept personal contributions.

Step 6: Client authorisation

The client must sign and date the form.

- The collection of tax file number information is authorised and its use and disclosure are strictly regulated by the taxation laws and the Privacy Act.
- For more information about the use of tax file numbers, please read the booklet 'New tax rules for savings accounts and investments', available from any taxation office.
- It is not an offence if you choose not to quote your tax file number, tax will be paid at the maximum marginal rate (plus Medicare Levy).
- If you choose to complete this section, it should be signed by the holder of the tax file number or a person authorised to provide the tax file number.
- For details about who is exempt, please refer to the booklet 'New tax rules for savings accounts and investments', available from Australian Taxation Office.

Tax file number (TFN) notification

Your tax file number is confidential. Before you provide your tax file number we are required to tell you the following:

- 1 Tax file numbers are collected under the Superannuation Industry (Supervision) Act 1993, Retirement Savings Accounts Act 1997, the Privacy Act 1988 and taxation acts (including the income tax act).
- 2 The Trustee and Fund Administrator will only use your tax file number for superannuation purposes, including:
 - Finding or joining together your superannuation benefits.
 - Calculating the correct tax on employment termination payments.
 - Passing it to the trustee of another superannuation fund or provider of a retirement savings account when transferring your benefits. You may give us written instructions not to pass it on before any transfer.
 - Passing it on to the Australian Taxation Office (ATO):
 - if we have paid you a benefit
 - to report contributions, or
 - if you have unclaimed superannuation money after reaching the aged pension age.

These purposes may change in the future as a result of legislative changes.

What happens if I do not quote my TFN

You are not obliged to provide your TFN to your superannuation fund. However, if you do not provide your TFN your fund may be taxed at the highest marginal tax rate plus the Medicare levy on contributions made into your account in the year, compared to the concessional tax rate of 15 per cent. Your fund may deduct additional tax from your account.

If your superannuation fund does not have your TFN, you will not be able to make personal contributions to your superannuation account.

If you are an insurance only member and do not provide us with your TFN we will be unable to accept any contributions which will result in your insurance cover ceasing.

If you are a non-resident and you do not provide a TFN, the Trustee must withhold the prescribed rate of tax when paying a lump sum benefit. A tax exemption code is NOT recognised as a valid TFN.

If you are over the age of 60 at the commencement of your pension you do not need to provide a TFN as all benefits are tax free.

Choosing to quote your TFN will also make it easier to keep track of your superannuation in the future.

The lawful purpose for which your tax file number can be used and the consequences of not quoting your tax file number may change in the future as a result of legislative change.

For more information, please contact your fund or the ATO Superannuation Helpline on 13 10 20.

Once completed, please send this form to:

Summit Service
GPO Box 2754
MELBOURNE VIC 3001

Or fax to Summit on 1800 780 081.

Step 1: Your client details

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Client reference number (eg 0012345-L2-01)

/ /

Client name

Date of birth

Step 2: Reason for exemption (if applicable)

Reason

Step 3: Residency status

Australian resident
 Non-resident Country of residence

Step 4: Portfolio type

I agree to provide my tax file number for the following Generations portfolio(s):

Generations Personal Super
 Generations Personal Pension

Step 5: Tax file number

I authorise NM Superannuation Pty Ltd to use the tax file number already on file.

OR

Tax file number

I have read the tax file number notification and agree to provide my tax file number: Yes No (tick one only)

Step 6: Client authorisation

If providing my TFN I have read and understood the notification provided.

/ /

Client signature

Date