



Contents and instructions

Please complete this form if you wish to make changes to your address, the name in which your account is held, change or cancel a Power of Attorney or your bank account details. You should also complete this form if you wish to make changes or cancel one of your regular payment facilities including regular savings plan, pension payments or your regular withdrawal.

You can also complete this form if you wish to notify us of a change to your financial adviser and/or adviser remuneration arrangements.

Please ensure you complete Steps 1, 13 and 14, plus the step(s) that relate to the change(s) you would like to make. Incomplete requests which require follow-up may result in processing delays.

If you need assistance with completing this form, please contact your financial adviser or Summit Client Services on 1800 622 772.

Step 1 Your client details

Complete the full name in which the investment is held, including client reference number. Also, please complete your name and client reference number in the boxes on the top of each page.

Step 2 Change of address

Complete both your new residential address and postal address if different. Also include all relevant contact numbers.

Step 3 Change of name

Complete this section if you wish to change the name in which your portfolio is held. Please also provide copies of relevant documentation, such as a copy of the marriage certificate if the change of name is due to marriage, or a copy of the certificate of change of name if the change of name is by deed poll.

Step 4 Add, change or cancel Power of Attorney

Use this section if you wish to add a new Power of Attorney or change or cancel an existing Power of Attorney on your portfolio. Tick the relevant box, and complete the details of your new Power of Attorney (if applicable). Please ensure you sign the Client authorisation in Step 13 and send an original signed copy of this form to the address below.

If you are appointing a new Power of Attorney, also send an original certified copy of the new Power of Attorney to the address provided below.

Step 5 Change of bank account details

Use this section if you wish to change the bank account details that we hold on file for your pension payments, your regular withdrawal arrangements or your regular savings plan. Please note that if you are changing your bank account details, we require an original signed copy of this form. Please send it to the address provided below.

Step 6 (a) and (b) Change to financial adviser remuneration

Complete this section if you agree to a new or revised remuneration arrangement with your financial adviser.

Step 7 Change to review report frequency

Use this section if you wish to change the frequency of your review reports.

Step 8 Change to regular savings plan

Use this section if you wish to change the details of or cancel your regular savings plan.

Note If you cancel your regular savings plan, you will also need to cancel your direct debit arrangement with your bank/financial institution.

Five working days notice is required for alterations to your regular savings plan.

Step 9 Change to regular savings plan indexation

Use this section to add, alter or cancel indexations on your portfolio.

Step 10 Change to pension payments

Use this section if you wish to alter your regular pension payments. Pension payments are subject to minimum/maximum amounts. Please consult your financial adviser before completing this section.

Step 11 Change to regular withdrawal facility

Use this section if you wish to alter or cancel your regular withdrawal facility.

Step 12 Change of financial adviser

Use this section if you would like to appoint a new financial adviser.

Step 13 Client authorisation

Sign and date the form.

Step 14 Financial adviser

Your financial adviser should complete their name and adviser number.

Once completed, please send this form to:

Summit Service
GPO Box 2754
MELBOURNE VIC 3001

If you have the Generations fax facility, this form can be faxed to Summit on 1800 780 081. Please note that we require an original copy of this form if you are appointing a new Power of Attorney in Step 4 or changing your bank account details in Step 5. Therefore, you cannot use the fax facility in these instances.

Step 1 Your client details

Client name

 - -

Client reference number (eg 0012345-L2-01)

Step 2 Change of address

New residential address

Street number and name

Town/Suburb

State

Postcode

New postal address (if different from above)

Street number and name

Town/Suburb

State

Postcode

Home telephone

Work telephone

Facsimile

Mobile

Email address

Step 3 Change of name

New name

Mr Mrs Miss Ms Other please specify

Surname

Given name(s)

Former signature

New signature

Step 4 Add, change or cancel Power of Attorney

- I wish to nominate the below person as my Power of Attorney. Please note that you will need to send a certified copy of the new Power of Attorney and the original signed copy of this form to the address listed on the bottom of page 1.
- I wish to revoke my existing Power of Attorney and confirm that I will take responsibility for all future notifications or changes.
- I wish to revoke my existing Power of Attorney and nominate the person below as my new attorney. Please note that you will need to provide a certified copy of the new Power of Attorney.

Mr Mrs Miss Ms Other please specify

Surname

Given name(s)

Postal address

Street number and name

Town/Suburb

State

Postcode

Home telephone

Work telephone

Facsimile

Mobile

Email address

Client name

 - -

Client reference number (eg 0012345-L2-01)

Step 5 Change of bank account details

- I wish to receive my pension by direct credit to my bank account.
- I wish to change my bank/financial institution account details for an existing direct credit arrangement. Please note that if you are changing your bank account details, we require an original signed copy of this form.

Payee account name

Name of bank/financial institution

Bank/financial institution branch name

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BSB number

Account number

Signature of account holder(s)

Step 6(a) Change to commission based remuneration

(applies only to open accounts as at 30 June 2010 that are still on commission based terms).

Entry fee option

Commission on additional investment(\$ %) 0 – 4.40% (including GST)

OR

Deferred entry fee option

- 0.0%
- 1.1%
- 2.2%
- 3.3%
- 4.4% (including GST)

Adviser review fee % 0 – 1.65% (including GST)

Note: You cannot change the fee structure between entry fee and deferred entry fee on your portfolio.

Step 6(b) Change to advice fee remuneration

(applies to accounts opened, transitioned or seeking to transition to member advice fee or client advice fee terms from 1 July 2010).

All member/client advice fees nominated should be inclusive of GST. The actual amount payable by the member/client from their account will be less due to the benefit of RITC.

Superannuation and Pension only

Member advice fee – Ongoing

Regular dollar \$ (incl GST)

Frequency Monthly Quarterly*

Note: The dollar figure selected is per the frequency selected (eg \$100 at a frequency of monthly equals \$1,200 pa).

Indexing

None CPI Agreed % %

Applies yearly in (month)
(defaults to anniversary month-end if not provided)

AND/OR

Portfolio based % 0 – 2.69% pa (incl GST)

Frequency Monthly Quarterly*

Member advice fee – Additional advice*

MAF – Additional advice \$ (incl GST)

Member advice fee – Contributions

MAF – Contributions % 0 – 4.40% pa (incl GST)

* These features will be available no later than November 2010. If applying for these features prior to November 2010, please check with your financial adviser.

Generations **Account alteration**

Shared remuneration (if applicable)

Adviser's name	Number	% MAF – Ongoing to receive	% MAF – Additional advice* to receive	% MAF – Contributions to receive
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Investment only

Client advice fee – Ongoing

Regular dollar

\$ (incl GST)

Frequency Monthly Quarterly*

Note: The dollar figure selected is per the frequency selected (eg \$100 at a frequency of monthly equals \$1,200 pa).

Indexing

None CPI Agreed % %

Applies yearly in (month)
(defaults to anniversary month-end if not provided)

AND/OR

Portfolio based (incl cash account)

% 0 – 2.69% pa (incl GST)

Frequency Monthly Quarterly*

Client advice fee – Additional advice*

CAF – additional advice

\$ (incl GST)

Client advice fee – Contributions

CAF – contributions

% 0 – 4.40% pa (incl GST)

Shared remuneration (if applicable)

Adviser's name	Number	% CAF – Ongoing to receive	% CAF – Additional advice* to receive	% CAF – Contributions to receive
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Step 7 Change to review report frequency

Review reports

Please nominate the month and frequency. Month Half year Year

Note: The first review report will be issued 6 or 12 months (as nominated) after the selected month.

* These features will be available no later than November 2010. If applying for these features prior to November 2010, please check with your financial adviser.

Generations **Account alteration**

Client name

Client reference number (eg 0012345-L2-01)

Step 8 Change to existing regular savings plan details

Please indicate the source of your investment.

Generations Personal Super

Total

Personal contributions†

Components

Employer contributions

Superannuation Guarantee or award contribution

Salary sacrifice

Employer

Spouse contributions

Total amount to invest

If you have standing purchase instructions, all contributions will be invested according to these instructions unless otherwise specified.

Generations Investment Portfolio

Non-super contribution

Payment frequency

Fortnightly Monthly Quarterly

Date of payment (1st to 28th)

OR Last day of the month

Commencing:

Cancellation of regular savings plan

I wish to cancel my regular savings plan.

Note: You will also need to cancel the regular payment authority with your bank/financial institution.

Step 9 Change to regular savings plan indexation

Indexation:

Yes
 No

Type:

CPI rate

OR

Nominated percentage (up to 7.0%)

Step 10 Change to pension payment(s)

Pension payment details

Payment frequency Monthly Quarterly Half-yearly Yearly

Date of first pension payment (5th to 28th)

OR Last day of the month

Note: Payments will not commence until all requirements are received and a default date of the 15th applies if not indicated above.

Allocated Pension nominated gross payment amount

Minimum pension
(Indexation not available)

OR

Specified annual pension

OR

Specified pension at the payment frequency indicated above

Indexation (only available if a specified annual pension has been selected):

No Yes

Type:

CPI rate

OR

Nominated percentage (up to 7.0%)

Non-commutable allocated pension nominated gross payment amount

Minimum pension
 Maximum pension

OR

Specified annual pension

OR

Specified pension at the payment frequency indicated above

Indexation (only available if a specified annual pension has been selected):

No Yes

Type:

CPI rate

OR

Nominated percentage (up to 7.0%)

† If you intend to claim a tax deduction for personal superannuation contributions, you must provide the trustee valid notice of your intent to claim the deduction which will be sent to you at the end of each financial year. If the trustee does not receive a valid notice, all personal contributions will be allocated as non-concessional. The trustee is required to acknowledge receipt of the notice and you must have this acknowledgement before you claim a tax deduction.

Step 11 Change to regular withdrawal facility

Payment amount

Specified payment \$

Payment frequency

Monthly Quarterly Half yearly Annually

Date of first payment (5th to 28th) / / OR Last day of the month

Indexation (subject to legislation): Yes Type: CPI rate OR % Nominated percentage (up to 7.0%)
 No

Cancellation of regular withdrawal facility

I wish to cancel my regular withdrawal facility.

Step 12 Change of financial adviser

- B A -

(eg 0012345-BA-10)

Adviser number (If you do not have an existing Summit adviser number, please complete the details below.)

New adviser name

Surname

Given name(s)

Company

Postal address

Street number and name

Town/Suburb

State

Postcode

Home telephone

Work telephone

Facsimile

Mobile

Email address

Step 13 Authorisation

I/We agree to release and indemnify NMMT Limited, Summit or any other member of the Global AXA Group from and against all actions, proceedings, accounts, costs, claims and demands in respect of any liabilities arising directly or indirectly as a result of the use of the services offered. This release and indemnity does not apply to the extent that such liability is attributable to the negligence or default of NMMT Limited, Summit or any other member of the Global AXA Group.

I/We acknowledge that where I/we have invested in a illiquid investment option, any withdrawal or transfer which required the sale of all or part of this investment may be processed in a period longer than 30 days.

I/We agree that neither I/we nor any other person claiming through me has any claim against NMMT Limited or any other member of the Global AXA Group in relation to a payment made or instructions effected by NMMT Limited, Summit or any other member of the Global AXA Group under any of the services if the payment or instructions are made in accordance with the relevant conditions and including circumstances where the instructions are later shown not to have been made by me or my authorised representative.

I/We acknowledge that NMMT Limited, Summit or any other member of the Global AXA Group is entitled either to cancel or change the terms and conditions of the services but may not do so without giving me/us prior written notice. I/We may cancel the use of any of the services at any time by giving NMMT Limited and Summit notice.

I confirm that I have received and read the most current PDS (for Personal Pension and Personal Super) or IDPS Guide for (Investment Portfolio)

For Personal Superannuation and Personal Pension members only

I authorise the Trustee to pay any nominated member advice fees from my account to my financial adviser.

I acknowledge that my financial adviser may, in some circumstances, decide to transfer the advisory services they provide me to another financial adviser (for example, by retiring, changing roles, merging advice practices or selling all or part of their practice). If such circumstances arise, and unless I instruct otherwise:

- a) I understand that I will be notified within 30 days of Summit becoming aware of any such change, and my member advice fee arrangements will be reconfirmed, and
- b) I authorise the Trustee to continue to pay any nominated member advice fees from my account to my new financial adviser, from the date of transfer of advisory services.

For Investment accounts only

I/We authorise NMMT Limited to pay any nominated client advice fee from my/our account to my financial adviser.

I/We acknowledge that my/our financial adviser may, in some circumstances, decide to transfer the advisory services they provide me/us to another financial adviser (for example, by retiring, changing roles, merging advice practices or selling all or part of their practice). If such circumstances arise, and unless I/we instruct otherwise:

- a) I/We understand that I/we will be notified within 30 days of Summit becoming aware of any such change, and my/our client advice fee arrangements will be reconfirmed; and
- b) I/We authorise NMMT Limited to continue to pay any nominated client advice fees from my/our account to my/our new financial adviser, from the date of transfer of advisory services.

X	/ /
Client signature	Date

Step 14 Financial adviser

Adviser name	Adviser number