



# Sell request

## CHECKLIST

1. Please complete details in BLOCK LETTERS.  Done
  2. Please ensure the form is signed by the client or their authorised signatory.  Done
  3. If the member has the fax transaction facility, this form can be faxed on 1800 780 081.  Done
  4. If the member has opted out or not previously requested the fax transaction facility, mail this form to Summit Client Service, GPO Box 2754, MELBOURNE VIC 3001  Done
  5. To avoid duplicate transactions, please indicate whether this form has been faxed to us.  Done
- Has not been faxed    Has been faxed   Date faxed

Please complete all sections of this form before submitting. Incomplete requests will require follow up and are likely to result in processing delays.

## INSTRUCTIONS

Complete this form if you wish to make an investment sell on your portfolio.

### STEP 1: CLIENT DETAILS

Complete the full name of the client in which the investment is held including client reference number.

### STEP 2: INVESTMENT SELL

Use this section to request the sale of investment options held in your portfolio.

If you are selling more than 95 per cent of a particular investment(s), that investment(s) will be sold in full.

If you choose to sell all or any particular investment option, you may also have to update your standing instructions.

If an investment sold in full exists within standing purchase/sell instructions, and no new instructions are received, the investment sold will be replaced with the AXA Wholesale Cash Management Trust in the standing purchase instructions and the standing sell instructions will be cancelled.

### STEP 3: DECLARATION

The declaration must be signed either by you or your Authorised Representative.

### STEP 1: CLIENT DETAILS

Client name
















Client reference number (eg 0123456-D2-01)

### STEP 2: INVESTMENT SELL

Note: If you have nominated funds to be retained in the cash account and you have a standing purchase instruction, your standing purchase instruction will be cancelled.

### Managed investment fund sales

Investment name	Product no.	\$ value	Full sell
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>	<input style="width: 100%; height: 20px;" type="text"/>	\$ <input style="width: 80%; height: 20px;" type="text"/>	<input type="checkbox"/>
<b>Total</b>		\$ <input style="width: 80%; height: 20px;" type="text"/>	

Please note: If you have standing purchase instructions please choose one of the following:

- Invest cash as per standing purchase instructions
- Cancel my standing purchase instructions
- Change my standing purchase instructions (You will need to complete the 'Summit Plan Alteration Form').
- I wish to temporarily disable my standing purchase instructions. (Note: Maximum of 4 weeks allowed from the date of disabling standing purchases. The standing purchases will then be re-enabled).

If no selection is made and you hold a standing purchase instruction, all cash will be invested as per standing instruction.

# Sell request

## Listed security sales

1. Unless otherwise instructed, share trades will be placed at market prices.
2. Share trade instructions received up to 2 pm on any business day will be actioned that day. Instructions received after 2 pm may be actioned the following day.

ASX code	Company name	\$ value	Number of shares	Price limit per share*	Full sell
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="checkbox"/>
		<b>Total</b>		\$ <input type="text"/>	

\* If share price is not nominated, trades will be placed at market price

## STEP 3: DECLARATION

I/We acknowledge that neither NMMT Limited, nor any other member of the Global AXA Group guarantee the performance of the investments that have been chosen, and do not express any opinion as to the appropriateness of any particular investment in the circumstances of any particular member.

I/We acknowledge that where I/we have invested in a illiquid investment option, any withdrawal or transfer which required the sale of all or part of this investment may be processed in a period larger than 30 days.

I/We confirm that I/we relied on my/our own investigations and the advice of my/our financial adviser in choosing the investments.

I/We have also been provided with current product disclosure statements and any supplementary information on the underlying investment recommendations and a written recommendation from my/our financial adviser. I/We therefore ask that Summit action these investment instructions.

/  /

Client one signature Date

/  /

Client two signature Date

### Financial adviser use only

Adviser name

-  B  A  -

Adviser number

Client name

-  -

Client reference number (eg 0123456-D2-01)